Thermal properties and physical ageing of poly(L-lactic acid)

Annamaria Celli* and Mariastella Scandola†

Dipartimento di Chimica 'G. Ciamician' dell'Università di Bologna and Centro di Studio per la Fisica delle Macromolecole del C.N.R., Via Selmi 2, 40126 Bologna, Italy (Received 10 January 1991; revised 11 July 1991; accepted 24 July 1991)

Poly (L-lactic acid) (PLLA) was investigated by differential scanning calorimetry and dynamic mechanical and dielectric spectroscopies. In the dynamic mechanical and dielectric spectra no secondary relaxations were observed below the glass-to-rubber transition (70°C at 3 Hz). The thermal history strongly affects the physical properties of PLLA, inducing changes of crystalline:amorphous ratio as well as large physical ageing effects on the glassy amorphous phase. This latter phenomenon is clearly observed as a consequence of room-temperature storage and develops at a faster rate as the ageing temperature T_a approaches T_g . Ageing experiments carried out at the same undercooling ($\Delta T = T_g - T_a$) on PLLA samples of different molecular weight ($\bar{M_v} = 5300, 20000, 691000$) show that a decrease in molecular weight increases the magnitude of the enthalpy relaxation at the glass transition.

(Keywords: poly(L-lactic acid); physical ageing; thermal properties)

INTRODUCTION

Interest in polylactide materials has rapidly grown in recent years and a number of papers have highlighted the remarkable properties of these polymers for applications in the medical field: compatibility with biological tissues^{1,2}, degradability *in vivo* and *in vitro*³⁻⁶, and good mechanical characteristics⁷⁻⁹.

Some physical properties of polylactides have been extensively investigated: crystalline structure¹⁰⁻¹², molecular conformation^{11,12} and morphology and crystallization kinetics¹³⁻¹⁶. However, little attention has been paid to their thermal properties. In fact the thermal data available are confined to calorimetric characterization of glycolic-lactic acid copolymers¹⁷ as well as of poly(DL-lactic acid) (PDLLA) and poly(L-lactic acid) (PLLA)¹⁸.

As far as PLLA is concerned, no viscoelastic data have been reported so far, although knowledge of the relaxation processes that make up the viscoelastic spectrum and of their dependence on thermal history is undoubtedly relevant to the practical applications of polylactides.

Among the aims of this paper are to provide dynamic mechanical and dielectric characterization of the optically active poly(L-lactic acid), and to investigate the effect of 'physical ageing' on the properties of the polymer, considering ageing time, temperature, and polymer molecular weight as variable parameters.

EXPERIMENTAL

Three samples of poly(L-lactic acid) (PLLA) of different molecular weights were used in this work. One sample

 $(\bar{M}_{\rm v}=5300)$, synthesized by direct polycondensation of lactic acid in xylene, was kindly supplied by Dr G. Perego of Himont Italia (Centro Ricerche Novara). The remaining samples ($\bar{M}_{\rm v}=20\,000$, trade name Resomer L206, and $\bar{M}_{\rm v}=691\,000$, Resomer L214) were purchased from Boehringer. The samples are referred to as A, B and C in order of increasing molecular weight, as indicated in Table 1.

Dynamic mechanical measurements were performed with a DMTA (Polymer Laboratories Ltd) operated in the dual cantilever bending mode, at a frequency of 3 Hz and a heating rate of 3 K min⁻¹. PLLA samples in the form of small bars, injection-moulded at 250°C, were investigated in the temperature range from -150 to 160°C.

Dielectric measurements were carried out with a DETA instrument (Polymer Laboratories Ltd), at a heating rate of 1 K min $^{-1}$ at five selected frequencies (0.3, 1, 3, 10, 50 kHz), on films (40 μ m thick) of sample C prepared by casting 1 wt% chloroform solutions on to glass plates. Before measurement, the sample was heated under vacuum to 120°C in the DETA to eliminate absorbed water.

Calorimetric (d.s.c.) measurements were performed on as-received powder samples by means of a DuPont 9900 Thermal Analysis System (heating rate 10 and 20 K min⁻¹) in the temperature range from -80 to 200° C. The temperature scale was calibrated with high-purity standards. The glass transition temperature (T_g) was taken as the inflection point of the specific heat increment.

Ageing experiments at selected temperatures (T_a) were performed as follows: each sample was heated to 200°C at 20 K min⁻¹ in order to erase the previous thermal history, then rapidly cooled to T_a . After ageing at T_a for a chosen time (t_a), the sample was rapidly quenched to -80°C and subsequently heated at 20 K min⁻¹ to above

^{*}Present address: Himont Italia, Centro Ricerche 'Giulio Natta', Piazzale Donegani 12, 44100 Ferrara, Italy

[†]To whom correspondence should be addressed

Table 1 Physical properties of poly(L-lactic acid)

Sample	$ar{M}_{ m v}$	<i>T</i> _m ^a (°C)	$\Delta H_{\rm m}^{a}$ (J g ⁻¹)	<i>T</i> _g ^b (°C)	$\frac{\Delta C_p^b}{(\operatorname{J} \operatorname{g}^{-1} \operatorname{K}^{-1})}$
	5300	156	61	55	0.60
В	20 000	174	68	59	0.54
C	691 000	186	59	64	0.54

^aBy d.s.c., 10 K min⁻¹

^bBy d.s.c., 20 K min⁻¹

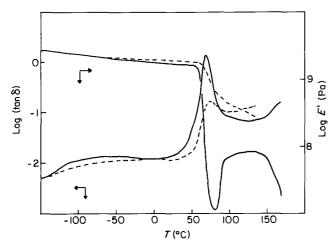


Figure 1 Dynamic mechanical spectrum of poly(L-lactic acid) (sample C): (---), first run; (---) second run

the glass transition. Because unexpectedly low $T_{\rm g}$ values were occasionally observed after several hightemperature heating cycles, fresh polymer sample was used for each ageing experiment.

RESULTS AND DISCUSSION

The dynamic mechanical spectrum of PLLA is shown in Figure 1: the temperature-dependences of the loss tangent $(\tan \delta)$ and of the storage modulus (E') are shown for the high-molecular-weight polymer (sample C); the lower-molecular-weight PLLA samples (A and B) were very fragile and underwent brittle fracture during measurement below room temperature, so no reliable viscoelastic results could be obtained over the whole temperature range.

In Figure 1 two different curves are shown: the solid line refers to a sample quenched from the melt in a water-ice mixture after extrusion, whereas the broken line relates to an immediate rerun on the same sample, after cooling from 160°C. Below room temperature no relaxation process is apparent in either curve: the dynamic mechanical loss tangent is as low as 10^{-2} over the range -150 to 20° C. The absence of any loss phenomena below T_g capable of mechanical energy dissipation is likely to explain the observed brittleness of glassy PLLA and induce catastrophic failure of the low-molecular-weight samples.

In the first run on quenched PLLA, the loss tangent curve shows a very intense relaxation peak in the range 50-80°C (peak value 70°C), associated with a very steep drop in modulus; this relaxation phenomenon corresponds to the glass-to-rubber transition of PLLA, and its intensity indicates that a very large fraction of the sample has been frozen in the glassy amorphous state after extrusion. The location of the glass transition agrees with published values 18 . The abrupt increase in E' above 80°C reflects a sudden increase in rigidity of the material and indicates that, above T_g , the polymer chains have acquired enough mobility to crystallize during the dynamic mechanical run.

The occurrence of crystallization is clearly demonstrated by the spectrum obtained in an immediate rerun (broken line in Figure 1), where the glass transition of PLLA appears as a $\tan \delta$ peak of moderate intensity associated with a fairly small decrease in the storage modulus. In this instance only a small fraction of the sample undergoes the glass transition, owing to the presence of a significant crystalline phase. The crystallites, acting as 'physical crosslinks', cause the observed broadening of the $\tan \delta$ peak. Figure 2 shows the multifrequency dielectric spectrum of PLLA (sample C); as already noted in the dynamic mechanical spectrum of Figure 1, no relaxation phenomena are observed below the glass transition, which appears in Figure 2 as a dielectric peak whose temperature increases with increasing frequency.

Although the Arrhenius equation

$$\ln v = \ln v_0 - E_a / RT \tag{1}$$

correctly describes only the frequency-dependence of the peak temperature of secondary relaxations, the insert in Figure 2 shows that in the case of the glass transition of PLLA the $\ln v$ versus 1/T plot is satisfactorily linear. The value of the apparent activation energy E_a calculated from the slope of the line is $\approx 420 \text{ kJ mol}^{-1}$, a reasonable value for a glass transition phenomenon. The insert in Figure 2 also contains the peak value of the dynamic mechanical glass transition. It can be seen to lie on the line drawn through the dielectric results, confirming that the linear behaviour extends over a wide frequency range.

Differential scanning calorimetry was used to investigate both the glass transition and the melting of PLLA. As an example, Figure 3 shows two d.s.c. curves of sample B: the first run (curve A) and an immediate rerun (curve B) after quenching from 200° C to -80° C. The as-received PLLA powder is partly crystalline, and the glass transition cannot be clearly revealed by d.s.c. The

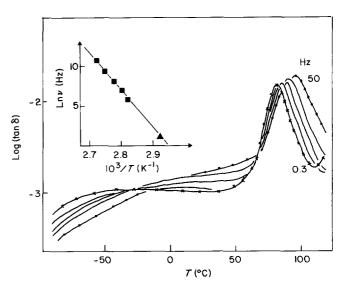


Figure 2 Multifrequency dielectric spectrum of poly(L-lactic acid) (sample C) at frequencies of 0.3, 1, 3, 10 and 50 kHz. Insert: Arrhenius plot: (■), dielectric; (▲) dynamic mechanical

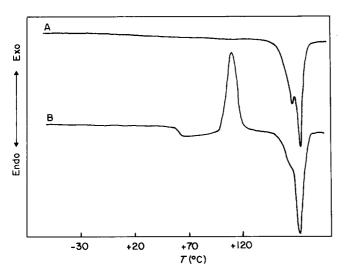


Figure 3 D.s.c. curves of poly(L-lactic acid) (sample B): (A) first scan; (B) second scan, after quenching from the melt. Heating rate $20~{\rm K~min^{-1}}$

only significant feature in curve A is in fact the complex melting endotherm showing two peaks at 165 and 172°C.

As already mentioned in connection with the dynamic mechanical results of Figure 1, quenching from the melt inhibits PLLA crystallization and 'freezes' samples in the glassy amorphous state; in curve B of Figure 3, a large endothermal baseline shift clearly indicates the glass transition of amorphous PLLA in the vicinity of 60° C. Comparison of the experimental value of the specific heat increment at T_g (see Table 1) with that predicted by Wunderlich's rule confirms that after quenching, practically all the PLLA sample is in the amorphous state and undergoes a glass transition.

Above the transition, in curve B an exothermic peak associated with a 'cold crystallization' process appears. It is the crystalline phase formed during this process that melts at higher temperature, showing an endotherm with a main peak at 173°C preceded by a shoulder. The heat of fusion, ΔH , associated with this melting endotherm is lower than that obtained in the first scan (55 *versus* 71 J g⁻¹): this result indicates that, at the heating rate employed (20 K min⁻¹), during cold crystallization the sample cannot crystallize to the same extent as does the original PLLA powder.

The calorimetric behaviour of sample A is very similar to that shown by sample B in Figure 3. Sample C, on the other hand, displays a much reduced ability to crystallize above $T_{\rm g}$ during the second scan, owing to its high molecular weight, which decreases chain mobility at $T > T_{\rm g}$. As for the temperature of the glass transition, the calorimetric $T_{\rm g}$ values reported in Table 1 decrease with decreasing molecular weight, as expected.

It was interesting to observe in the present work that, if amorphous PLLA samples are left at room temperature after quenching from the melt, an endothermic peak develops near the glass transition for all molecular weights examined. This is illustrated in *Figure 4* for sample B, tested after 6 days of storage at room temperature. As already shown in *Figure 3* (curve B), the peak is absent in immediate reruns and can be attributed to enthalpy relaxation effects related to the thermal history of the sample.

When a polymer is quenched from the melt and vitrifies, a non-equilibrium glassy state is reached²⁰. Even

in the glass, short-range mobility produces molecular rearrangements that drive the thermodynamic variables closer to their equilibrium values. Thus if a polymer is annealed below $T_{\rm g}$, it evolves towards the equilibrium state, a hypothetical condition attained only after an infinitely long time^{21,22}.

Since the enthalpy of the polymeric glass decreases with time, the thermal properties reflect the occurrence of ageing, as already shown in Figure 4 and further illustrated in Figure 5, where the calorimetric curves of sample B after annealing at $T_a = 30^{\circ}$ C for times (t_a) varying from 0 to 22 h are shown. A method of quantifying the consequences of ageing for the glass transition is to evaluate the 'excess enthalpy relaxation' ΔH . This can be done^{23,24} by integrating between two temperatures well below and well above the transition (in the 'glass' and 'liquid' regions respectively) the experimental ΔC_p 'difference curve', obtained by subtracting from the heat capacity curve of each 'aged' sample, that of the 'unaged' polymer $(t_a = 0)$. ΔH is plotted in the insert of Figure 5 and - to a first approximation - seems to display a linear dependence on $\log t_a$. The experimental data available are insufficient to attempt a more complete analysis of the phenomenon on the basis of the available phenomenological models^{24–26}.

Besides ageing time, the temperature at which the ageing experiment is performed is also a very important parameter in determining the extent of the ageing effect. The mobility of the polymer chains, i.e. the ability to eliminate excess free volume, is directly related to temperature. The effect of the ageing temperature on enthalpy relaxation is shown in Figure 6 for sample C. Curves for PLLA annealed for 22 h at $T_a = 30$, 40 and 48°C are shown together with that of the 'reference' unannealed sample. In the temperature range explored, after the same ageing time the relaxation effect on the glass transition increases with increasing temperature, ΔH being equal to 2.0, 2.9 and 3.8 J g⁻¹ at 30, 40 and 48°C respectively. This result is a consequence of the rate-controlling effect of temperature on the ageing process. Although the extent of the enthalpy change on annealing is predicted to increase with decreasing temperature, exceedingly long times are required to

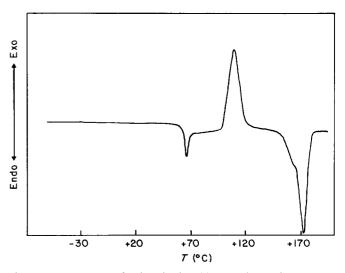


Figure 4 D.s.c. curve of poly(L-lactic acid) (sample B) after 6 days at room temperature after quenching. Heating rate 20 K min⁻¹

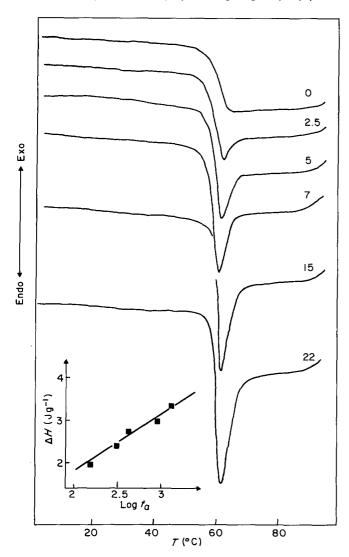


Figure 5 D.s.c. curves in the glass-transition region of poly(L-lactic acid) (sample B) annealed for different times t_a at $T_a = 30^{\circ}$ C, heating rate 20 K min⁻¹. Insert: excess enthalpy relaxation ΔH as a function of ageing time

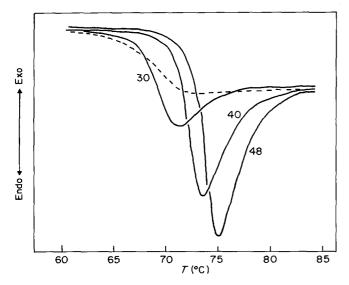


Figure 6 D.s.c. curves in the glass transition region of poly (L-lactic acid) (sample C) annealed for 22 h at different temperatures T_a ; (---) 'reference' unannealed sample. Heating rate 20 K min⁻¹

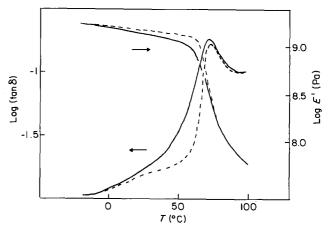


Figure 7 Influence of ageing on the dynamic mechanical spectrum of PLLA. Ageing time: (——), zero; (---) 9 days at 48°C

reduce the enthalpy by a given amount as the subcooling $(\Delta T = T_{\rm g} - T_{\rm a})$ increases. For this reason, in the experimentally accessible time-scale the effect of physical ageing is seen to vanish at temperatures well below $T_{\rm g}$.

The effect of ageing on the dynamic mechanical properties of PLLA was investigated for sample C, the ageing temperature being selected on the basis of the indications obtained from the d.s.c. experiments. Figure 7 shows how the glass transition region of the viscoelastic spectrum of PLLA is modified by ageing: the broken curves refer to a sample aged 9 days at 48°C, while the solid lines are the reference curves (ageing time $t_a = 0$) obtained in an immediate rerun of the same sample, after cooling from 100°C.

The PLLA sample used for the ageing experiment was partly crystalline, and the heat treatment up to 100° C was intended to erase the previous thermal history without changing the amorphous:crystalline ratio. The differences observed in *Figure 7* are therefore entirely due to ageing, the net effect of which is to decrease the loss factor and to increase the storage modulus over the whole low-temperature branch of the transition. As a result of ageing, the mechanical characteristics of PLLA change: the ability to dissipate energy through molecular motion decreases and the rigidity increases, resulting in a more brittle material.

As already pointed out in connection with Figure 6, as the subcooling with respect to $T_{\rm g}$ increases, the effect brought about by ageing for a given time decreases. It can be easily predicted therefore that storage of PLLA for a comparable time at room temperature will produce less dramatic changes than those shown in Figure 7, though significant effects must be expected if the storage time becomes long enough.

Finally, the effect of molecular weight on the ageing process of PLLA was investigated. The above discussion on the dependence of ageing on the value of T_a implies that, in order to obtain comparable results on PLLA samples with different T_g values (see Table 1), experiments have to be performed at the same degree of subcooling.

Marshall and Petrie²⁵ have shown that, at corresponding temperature intervals below $T_{\rm g}$, the enthalpy relaxation of glassy atactic polystyrene is a function of molecular weight (\bar{M}) and that the rate of enthalpy relaxation becomes independent of \bar{M} in the same range of \bar{M} values as that in which the $T_{\rm g}-\bar{M}$ dependence levels

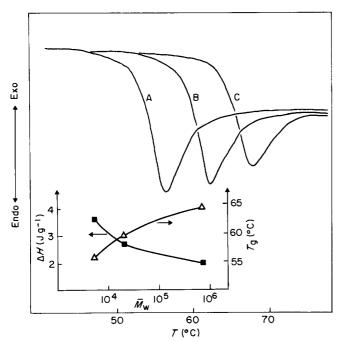


Figure 8 D.s.c. curves in the glass transition region of poly(L-lactic acid) (samples A, B and C) annealed for 1 h at the same degree of subcooling. Ageing temperature: (A) 40° C; (B) 44° C; (C) 49° C. Heating rate of 20 K min⁻¹. Insert: excess enthalpy relaxation ΔH and T_2 as a function of molecular weight

off. Figure 8 shows the d.s.c. curves in the glass transition region of samples A, B and C after annealing for 1 h at 40, 44 and 49°C respectively, i.e. at the same degree of subcooling $\Delta T = -15^{\circ}$ C. The relaxation enthalpy ΔH is found to increase with decreasing molecular weight, as illustrated in the insert, where also T_{g} (from Table 1) is plotted as a function of $\bar{M}_{\rm v}$. The observed increase of ΔH with decreasing \overline{M}_{v} can be attributed to the greater ability of short polymer chains to relax free volume. This is due to the larger proportion of chain terminals that possess higher motional freedom than internal chain segments. The additional free volume associated with the chain ends also causes the observed $T_{\rm g} - \bar{M}_{\rm v}$ dependence. The present interpretation is in line with that previously proposed²⁵ to explain the molecular weight dependence of the enthalpy relaxation process in polystyrene.

CONCLUSIONS

It has been shown how thermal history affects the viscoelastic spectrum and thermal properties of PLLA. In particular, the phenomenon of 'physical ageing' below $T_{\rm g}$ has been investigated in detail.

For PLLA samples of different molecular weight, the effect of ageing has been found to depend not only on ageing temperature but also - at comparable subcooling - on molecular weight. As a result of ageing, below the glass transition the rigidity of PLLA increases and its damping capacity decreases, causing embrittlement of the polymer. Since significant ageing effects are produced over the whole range from room temperature up to near T_o , whenever PLLA is stored at any temperature in this range, a change of properties with time has to be expected.

It is widely recognized that poly(L-lactic acid) is a potential candidate for a number of practical applications as a biodegradable and biocompatible thermoplastic. The present results have important implications for the use of PLLA in the medical field, highlighting the fact that at physiological temperatures, ageing can produce conspicuous effects on the polymer properties.

ACKNOWLEDGEMENTS

A.C. wishes to thank Himont Italia for the award of a fellowship during the course of this work.

REFERENCES

- Lipinsky, E. S. and Sinclair, R. G. Chem. Eng. Progr. 1986, 82, 26
- Vert, M. Angew. Makromol. Chem. 1989, 166-167, 155 2
- 3 Reed, A. M. and Gilding, D. K. Polymer 1981, 22, 494
- 4 Chawla, A. S. and Chang, T. M. S. Biomater., Med. Dev., Art. Org. 1985-86, 13, 153
- Leenslag, J. W., Pennings, A. J., Bos, R. R. M., Rozema, F. R. and Boering, G. Biomaterials 1987, 8, 311
- 6 Nakamura, T., Hitomi, S., Watanabe, S., Shimizu, Y., Jamshidi, K., Hyon, S.-H. and Ikada, Y. J. Biomed. Mater. Res. 1989, 23,
- Eling, B., Gogolewski, S. and Pennings, A. J. Polymer 1982, 23,
- 8 Gogolewski, S. and Pennings, A. J. J. Appl. Polym. Sci. 1983, 28, 1045
- 9 Leenslag, J. W., Pennings, A. J., Bos, R. R. M., Rozema, F. R. and Boering, G. Biomaterials 1987, 8, 70
- 10 Liquori, A. M., de Santis, P., Kovacs, A. J. and Mazzarella, L. Nature 1966, 211, 1039
- De Santis, P. and Kovacs, A. J. Biopolymers 1968, 6, 299
- Hoogsteen, W., Postema, A. R., Pennings, A. J., ten Brinke, G. and Zugenmaier, P. Macromolecules 1990, 23, 634
- 13 Kalb, B. and Pennings, A. J. Polymer 1980, 21, 607
- Vasanthakumari, R. and Pennings, A. J. Polymer 1983, 24, 175
- 15 Kishore, K. and Vasanthakumari, R. Colloid Polym. Sci. 1988, 266, 999
- 16 Jorda, R. and Wilkes, G. L. Polym. Bull. 1968, 19, 409
- Gilding, D. K. and Reed, A. M. Polymer 1979, 20, 1459 17
- 18 Jamshidi, K., Hyon, S.-H. and Ikada, Y. Polymer 1988, 29, 2229
- 19 Wunderlich, B. J. Phys. Chem. 1960, 64, 1052
- 20 Haward, R. N. (ed.). 'The Physics of Glassy Polymers', Applied Science, London, 1973
- Petrie, S. E. B. J. Polym. Sci. A-2 1972, 10, 1255 21
- Struik, L. C. E. In 'Physical Aging of Amorphous Polymers 22 and Other Materials', Elsevier, Amsterdam, 1978
- 23 Richardson, M. J. and Savill, N. G. Polymer 1975, 16, 753
- 24 Cowie, J. M. G. and Ferguson, R. Macromolecules 1989, 22, 2307
- 25 Marshall, A. S. and Petrie, S. E. B. J. Appl. Polym. Sci. 1975,
- 26 Hodge, I. M. and Berens, A. R. Macromolecules 1982, 15, 762